

PTO/SB/05 (11-01)
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(Only for new provisions; applications under 37 CFR 1.53b)

Attorney Docket No.	00-12
First Inventor	Yan Wang et al.
Title	OPTICAL COMPONENTS HAVING REDUCED INSERTION...
Express Mail Label No.	ET937500074US

ADDRESS TO:

See MPEP chapter 800 concerning utility patent application contents.

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: **15**]
(preferred arrangement set forth below):

 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: **5**]

5. Oath or Declaration [Total Pages: **1**]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continued/divisional with Box 18 completed)

c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

e. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MFEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☐ Other.

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.75(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO 1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MFEP 503)
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15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.78:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath of declaration is supplied under Box 30, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation ~~can~~ only be relied upon when a portion has been inadvertently ~~omitted~~ from the submitted application parts.

1A. CORRESPONDENCE ADDRESS

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Registration No. (Agency/Agent)	35 27
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Signature

Date _____

BURDEN HOUR STATEMENT: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20221. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yan Wang et al.

Docket No.

00-12

Serial No.

Unassigned

Filing Date

Filed Herewith

Examiner

Unassigned

Group Art Unit

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Invention: OPTICAL COMPONENTS HAVING REDUCED INSERTION LOSS

I hereby certify that the following correspondence:

New U.S. Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

12/31/01*(Date)*Marjorie Scariati*(Typed or Printed Name of Person Mailing Correspondence)**Marjorie Scariati**(Signature of Person Mailing Correspondence)*ET937500874US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**